# FaaSCell: A Case for Intra-node Resource Management

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## **1 MOTIVATION**

Open-source FaaS platforms have recently shown rapid growth, which is usually manifested as extension or specialization of existing cloud-native components and systems -mainly over Kubernetessince they are provably capable of standing their ground against production-level needs. Despite its advances, the cloud-native ecosystem has focused mostly on container-based deployments so far. FaaS workloads' need for massive colocation [1] without sacrificing security guarantees, pushes multi-tenancy to its limits.

First, this calls for highly isolated environments, which are traditionally associated with hardware-accelerated virtualization [1, 4, 21, 24, 25, 41]. Second, the fine-grained management of a node's available resources becomes essential, but also challenging because of FaaS workloads' characteristics [35]. Invocations tend to be shortlived, with irregular inter-arrival patterns. Keeping function instances warm becomes too expensive in terms of allocated resources, whereas booting them anew on each invocation imposes prohibitive slowdowns (i.e., cold starts). Lately, both Cloud providers and researchers consider VM snapshots as a viable mitigation [9, 16, 40]. After booting the function sandbox, its whole state -including its memory- is captured and persisted on storage. On subsequent invocations, the sandbox can be restored from the snapshot rather than booted anew, thus drastically improving startup time. Such mechanisms are being adopted by open-source systems [8, 9] and Cloud providers [27].

FaaS platforms are by nature distributed. Nevertheless, research on resource management also entails work on intra-node resource allocation. This is attested by several serverless studies focusing on single-node experiments to either investigate problems or evaluate proposed solutions. Past work generally examines node-local resource management, involving CPU, networking or I/O, often in

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the form of pre-allocating and caching policies (e.g., [2, 20, 31, 33, 36, 37]) and sandbox snapshotting in particular [5, 16, 29, 39, 42]. For this sort of experimentation, deploying a full-blown Kubernetes stack, including API extensions retrofitting FaaS concepts into cloud-native workflows, might be superfluous.

For that reason, we propose an alternative design which we find simpler to implement, extend and debug in scenarios involving single-node experimentation. We aim to enrich the existing opensource FaaS ecosystem with a versatile serverless system that offers a set of mechanisms to facilitate research and development of local orchestration intelligence. This component should be orthogonal with higher-level platforms that cater for cluster-level orchestration, hence also capable of integrating with them.

## 2 STATE-OF-THE-ART PLATFORMS

We are examining three major open-source systems that enable FaaS deployments with respect to our prior observations.

vHive. [40] It employs firecracker-containerd [3] to boot function instances in Firecracker microVMs rather than containers, also supporting optimized snapshotting. As a CRI [10] implementation, vHive relies on Kubernetes for cluster orchestration, on top of which Knative [11] provides the API primitives necessary for enabling FaaS deployments. The extensive use of cloud-native components makes vHive robust in cases of distributed deployments, but renders the software stack significantly more complex. Tracing function invocations and platform's overheads end-to-end can become cumbersome, especially when such systems are further extended for various research purposes. Moreover, vHive equates idle instances with those restored from snapshots. While the latter have been proved to significantly mitigate cold starts, research in sandbox caching and keep-alive policies is ongoing and orthogonal to snapshotting. This, in conjunction with utter reliance on Kubernetes for CPU and memory management, makes vHive more suitable for research on larger-scale settings.

Apache OpenWhisk. OpenWhisk [6] features a clean, extensible architecture, which is also the reason why many studies rely on it for prototype implementations [2, 20, 28, 31, 32, 34, 35, 38, 43-45]. It is simple to deploy due to comprising fewer moving parts. For instance, it can be deployed independently of Kubernetes, and also in single-node mode. Despite its numerous benefits, OpenWhisk's architecture is entirely focused on using containers as function instance sandboxes. By default, it is integrated with the docker CLI [26] and unaware of sandbox snapshotting. Furthermore, being implemented in Scala raises the engineering effort of integrating

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it with a high-level container runtime, like firecracker-containerd, due to missing components (e.g., TTRPC [15] compiler for JVM). **Kata Containers.** As an OCI [19] runtime, Kata [12] does not provide FaaS semantics by itself. However, through its integration with higher-level container runtimes (e.g., containerd [14]) it can be used as a Kubernetes RuntimeClass<sup>1</sup>, thus enabling Kubernetes API-extending FaaS platforms (e.g., Knative [11], OpenFaaS [17]) to seamlessly leverage the benefits of VMs. Its clean, VM-centric architecture makes it a robust solution for production use [30] and for experimentation at scale. However, support for Firecracker is currently unimplemented [18]. Besides, by design, Kata has been primarily versed towards Cloud workload deployments (e.g., via QEMU [13] or Cloud Hypervisor [7]) rather than FaaS.

Research on intra-node resource management could benefit from a simpler software stack. Bootstrapping such an effort would include a clean architectural component on top of a microVM-enabled container manager, free from the complexity of distributed deployments. This should improve overall transparency in the system, thus facilitating research and enabling innovation at lower levels as well. In light of this, we aspire to design a system which:

- considers Firecracker microVMs and their snapshots as firstclass function execution units in the system;
- focuses on resource management within a single node rather than cluster-wide orchestration;
- incorporates extensible mechanisms and configurable knobs to enable research and development of a variety of node-local algorithms and policies.

## **3 DESIGN OF FAASCELL**

FaaSCell is responsible for responding to clients' function requests –incoming presumably from an upper-layer entity– by invoking the respective user-defined functions. The latter are sandboxed within microVMs, which:

- may already run (in case of previous invocation(s) and depending on the keep-alive policy in place);
- can be loaded from a microVM snapshot, created during an earlier invocation and persisted on one of the node's available storage devices;
- may need to be booted anew (i.e., cold start).

FaaSCell is therefore responsible for orchestrating these microVMs. It has to track their state and manage their lifecycle, spawning new ones and reaping old ones when needed, aiming for low response latencies and high invocations throughput. Furthermore, FaaSCell needs to control the allocation of the node's resources occupied by those microVMs. While the exact policy for doing so is subject to active research and thus may vary, the system should provide the appropriate mechanisms to facilitate this sort of extensibility.

At the bottom of the stack, similar to vHive, FaaSCell uses firecracker-containerd as the high-level container runtime that communicates with the Firecracker processes. Through its API, system components at higher layers can control each microVM's lifecycle. We extend firecracker-containerd to support microVM snapshotting in a resource-efficient manner.

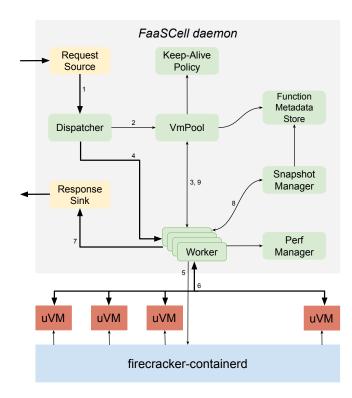


Figure 1: Interaction among some of the principal Actors in FaaSCell during a function invocation.

On top of firecracker-containerd, the FaaSCell daemon is responsible for actually orchestrating the microVMs according to invocation requests. This is where decision-making takes place, hence where robust yet flexible mechanisms should enable innovation through intelligent algorithms.

Similar to Kata, we pick Rust for implementing this layer, for the memory safety and zero-cost abstractions it provides. To cope with the high-concurrency requirements of its role, the daemon is designed after the Actor model [22, 23], similar to OpenWhisk: asynchronous userspace threads, each dedicated to a specific role, communicating with one another via efficient message passing.

Figure 1 roughly illustrates the interaction of some of the system's principal Actors during a function invocation. A request flows into the system through a Source, which forwards it to Dispatcher (1). Dispatcher requests VmPool to assign the invocation to a Worker (2). To achieve that, VmPool examines the system's state and consults any decision-making policy that may be in place, to allocate the desired resources accordingly and possibly to prepare the execution environment (e.g., by restoring or spawning new microVMs and associated Workers (3). Subsequently, Dispatcher can dispatch the request to the appropriate *Worker* ④, who is responsible for communicating to firecracker-containerd the decisions made earlier by VmPool (5). When the environment is set (i.e., the microVM is ready to serve the function at hand), Worker is responsible for forwarding the client's request to the function (6), possibly collecting performance metrics during its execution. The respective response is then channeled to Sink (7), to be forwarded further to any upper-layer system components. Meanwhile, Worker updates

<sup>&</sup>lt;sup>1</sup>https://kubernetes.io/docs/concepts/containers/runtime-class/#runtime-class

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*SnapshotManager* with any newly collected metrics, possibly querying for information necessary to create a new snapshot for the function instance (8). Finally, *Worker* notifies *VmPool* of its state (9), enabling the enforcement of additional policies (e.g., keep-alive) that potentially influence decisions in subsequent invocations.

Some of the Actors are designed for extensibility; i.e., based on interfaces that can have multiple implementations according to the needs of the experimentation. For instance, alternative implementations for *Source* and *Sink* make FaaSCell's interface versatile, as long as the actual function request is accessible to *Dispatcher*. Similarly, entities interacting with *VmPool* can be extended, allowing a variety of keep-alive policies, microVM selection algorithms and function metadata storage and retrieval. *Workers* could be extended to use alternative low-level runtimes, and their associated *PerfManagers* to collect different performance metrics during function execution, depending on the research context. *NetworkManager* abstracts away the specifics of allocating and setting up any network resources and rules required to provide connectivity to the microVMs. Finally, *SnapshotManager* can implement several algorithms for arranging sandbox snapshots among storage devices made available.

## **4** CONCLUSIONS

We advocate and originally design FaaSCell, an intra-node orchestrator for serverless functions. It aims to enable single-node resource management and performance studies, while remaining compatible with the distributed software stack of FaaS. FaaSCell could potentially be integrated with Kubernetes and its ecosystem, or with any other upper-layer component or platform that may be used for cluster-wide orchestration of FaaS deployments.

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